

BEST AVAILABLE COPY



(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number: 0 410 679 A1

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: 90308060.4

(51) Int. CL<sup>5</sup>: H01L 21/20

(22) Date of filing: 24.07.90

(30) Priority: 25.07.89 JP 192282/89

(43) Date of publication of application:  
30.01.91 Bulletin 91/05

(44) Designated Contracting States:  
DE FR GB

(71) Applicant: SHIN-ETSU HANDOTAI COMPANY.  
LIMITED  
4-2, Marunouchi 1-Chome  
Chiyoda-ku Tokyo(JP)

(72) Inventor: Nakazato, Yasuaki  
698-23, Jakumaku  
Koshoku-shi, Nagano-ken(JP)  
Inventor: Takei, Tokio  
219-5, Hara, Kawanakajima-machi  
Nagano-shi, Nagano-ken(JP)

(73) Representative: Pacitti, Pierpaolo A.M.E. et al  
Murgitroyd and Company Mitchell House 333  
Bath Street  
Glasgow G2 4ER Scotland(GB)

(54) Method for preparing a substrate for forming semiconductor devices.

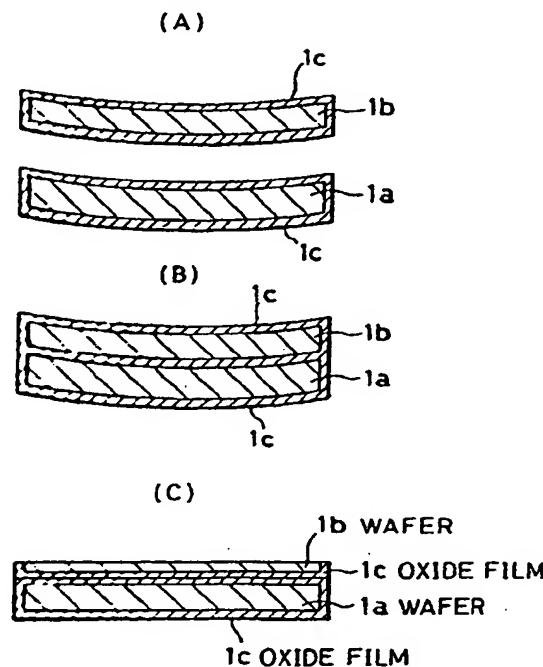
(55) A new method of preparing an exceedingly flat substrate for forming semiconductor devices having an SOI structure is disclosed.

In this process at least a first wafer made of silicon single crystal is concavely warped beforehand. A second silicon single crystal wafer is bonded to the concavely warped side of the first wafer with an oxide film interposed between the first and the second wafers. Subsequently the wafers are subjected to polishing and/or etching so that the second wafer bonded is thinned into a thin film to prepare a substrate for forming semiconductor devices having a SOI structure.

At this time the polishing and/or etching cause the bonded wafers to be warped convexly to offset the concavity of the first wafer, resulting in realization of a precisely flat substrate for forming semiconductor devices having an SOI structure.

Further, at the time of determining the magnitude of the warp of the first wafer beforehand, an approximate linear equation is used which shows a relationship between the warps formed before and after the formation of the oxide film.

FIG. I



EP 0 410 679 A1

**METHOD FOR PREPARING A SUBSTRATE FOR FORMING SEMICONDUCTOR DEVICES****2. DETAILED DESCRIPTION OF THE INVENTION****(INDUSTRIAL FIELD OF THE INVENTION)**

This invention relates to an improved method for providing a substrate remarkably less in warp which is prepared for forming semiconductor devices and has an SOI (silicon on insulators) structure by means of bonding method.

**(STATEMENT OF THE PRIOR ART)**

An SOI structure has hitherto been proposed for a substrate for forming semiconductor devices in order to facilitate isolation of integrated circuits in which tiny semiconductor devices are highly densely incorporated to eliminate a latch-up phenomenon in the integrated circuits, especially, CMOS (Complementary Metal-Oxide Semiconductors) integrated circuits.

To provide such an SOI structure, a method has been adopted in which an oxide film (insulating layer) is formed on a silicon substrate, further a polycrystalline layer is precipitated on the oxide film, and then further a single crystalline thin film is formed through transformation of the polycrystalline layer by a laser beam irradiated thereonto. Otherwise, a method has been adopted in which a silicon polycrystalline thin film is formed on a sapphire substrate from vapor phase by way of thermal decomposition reaction for subsequent crystallization thereof.

However, the crystallinity of the silicon thin film on the insulating layer or substrate formed by these methods has not been satisfactory. Consequently, further technical improvements are being successfully made in which silicon wafers are bonded to each other with an insulating layer placed therebetween, and the resulting bonded silicon wafers are polished or etched to be formed into a desired thin layer which is used as an active region for built in semiconductor devices of an integrated circuit.

For such a bonding method there are proposed a process of employing a simple weight for applying a pressure on the wafers and also a process of applying an electrostatic force in order to bond two wafers. The former prior art is described, for instance, in Japanese Patent Laid-Open Publication No. 48-40372. This known document teaches a method wherein silicon wafers are superposed on each other with an oxide film placed therebetween

for the purpose of bonding the wafers at 1,100 degrees centigrade and higher and at pressures 100 kg/square centimeter and more. The latter prior art is described in pages 92 through 98 of "Nikkei Microdevices" issued by Nikkei-McGraw-Hill, Inc. on March 1, 1988. Hereinafter such a substrate for forming semiconductor devices will be described.

In Fig. 4 (C), an example of the substrate in SOI structure for forming semiconductor devices is shown.

Wafers 1a and 1b are bonded to each other with oxide films 1c interposed therebetween. Subsequently, the side exposed to the air of the wafer 1b is polished and/or etched to be a thin film so that this substrate is achieved. The preparing process will be more particularly described as follows:

At first, prior to bonding wafers 1a and 1b to each other, as shown in Fig. 4 (A), the wafers 1a and 1b both with high precision in flatness of the surfaces are thermally oxidized over the entire surface thereof to form an oxide film 1c 0.8 micron meter in thickness. Then, wafers 1a and 1b are superposed on each other (Fig. 4 (B)), then are put into a furnace in the state of superposition and further an electrical voltage of approximately 300 volts is applied in a pulse mode across the superposed wafers in an atmosphere of nitrogen at a temperature of about 500 degrees centigrade. In this way, wafers 1a and 1b are bonded to each other. The bonded wafers thus treated have a strong bonding strength therebetween so that the wafers can be put in the conventional IC manufacturing process as they stand.

Wafer 1b of the bonded wafers thus obtained is polished and/or etched etc. from outside as it stands to be made into a thin film. Thus, a substrate with an SOI structure for forming semiconductor devices is prepared as shown in Fig. 4 (C).

Further, in a conventional technique, the above-mentioned wafers 1a and 1b have been requested to be polished like a mirror surface to have a high precision flatness, especially, on each surface to be bonded. Accordingly the surface has been finished so that the surface may have a highly precise flatness of 50 nm expressed in surface roughness.

In the above-mentioned process, however, when the outer surface of wafer 1b is polished and/or etched, etc. to be formed into a thin film, the bonded wafers will ordinarily have a warp convex at the outer surface of the wafer 1b. The warp will often be as large as several hundred micron meters. It is hard for the bonded wafers to be properly fixed by vacuum suction in this case. This causes a

trouble in transcribing a mask pattern to the substrate in a photolithography exposure process wherein surface accuracy is highly required.

In order to find out the cause, the following experiments were made by the present inventors:

First, wafers 1a and 1b had an oxide film 1c one micron meter thick formed on the entire surface by thermal oxidization, respectively. The wafers 1a and 1b were superposed on each other to be put into a furnace. The wafers had a pulse mode voltage of 350 volts applied at a temperature of 400 degrees centigrade for the purpose of bonding the wafers. Subsequently, the oxide film 1c covering the wafer 1b was removed and the bulk of the wafer was thinned by surface-grinding. Further, the wafer 1b was subjected to etching in an alkaline solution and to polishing so that the wafer 1b had a predetermined thickness.

At this time the warps were measured on one of the starting wafer and on the wafer or a bonded wafer after each of the following steps:

Wafer 1a; wafer 1a which has had an oxide film 1c formed thereon; bonded wafers in which 1a and 1b have been bonded to each other; the bonded wafers which have been surface-ground; the bonded wafers which have been subjected to etching in an alkaline solution; and the bonded wafers which have been subjected to polishing.

The results are shown in Fig. 5. In the figure, mark (●) is for the warp of the wafer 1a; mark (▲) is for the warp of wafer 1a which has an oxide film formed thereon; mark (■) is for the warp of the bonded wafers; mark (○) is for the warp of bonded wafers which have been surface-ground; mark (Δ) is for the warp of the bonded wafers which have been subjected to etching in an alkaline solution; mark (□) is for the warp of the bonded wafers which have been subjected to polishing.

Fig. 5 shows that the warp of the wafers which have been bonded is formed in the convex direction at the wafer 1b outside surface. In the figure, it is also found that there occurs a large deformation from the state of warp after bonding of the wafers (■) to the state of warp after surface grinding of wafer 1b (○).

Next, in order to study the relationship between the warp and the process of thinning wafer 1b into a thin film, the warp caused only by surface grinding and the warp caused only by etching in an alkaline solution were examined.

As a result, it was found that as the wafer 1b was thinner, the front side, i.e., the wafer 1b side was easy to turn convex in both of the above cases. It was also found that a warp always occurred regardless of the processes of thinning the wafer 1b into a thin film.

Next, to study the influence of an oxide film 1c on a warp, an oxide film was formed on one side of

an ordinary wafer to examine a warp of the wafer before and after the formation of an oxide film.

As a result, it was found that the side of the wafer on which an oxide film was formed turned convex. From this fact it was found that the cause of warp lay in the oxide film 1c.

An oxide film is different from silicon single crystal in thermal expansion coefficient. Silicon single crystal is greater in thermal expansion coefficient than an oxide film. Consequently, when an oxide film is formed on the entire surface of the silicon single crystal in a high temperature atmosphere and then cooled, residual stress is accumulated within the silicon single crystal. It is considered that when an oxide film is removed from the outside front (1b side) surface of the bonded wafers to make the wafer 1b thinner for the purpose of preparing an SOI structure, the residual stress on the wafer 1a causes the front or upper side of the bonded wafers to be warped upward (in this case, the extreme thinness to five micron meters or less of the wafer 1b allows the residual stress therein to be ignored). In case there exists an oxide film 1c on the back or under side of the bonded wafers, the influence of the oxide film 1c on the formation of warp can also be considered. However, the oxide film 1c between the individual wafers 1a and 1b is far thicker than the oxide film on the backside of the wafers. Therefore the oxide film 1c between the individual wafers 1a and 1b is far greater in influence on the formation of warp.

Further, as shown in Fig. 5, additional deformations from the state of warp after bonding (■) to the state of warp after surface grinding (○) are extremely great. It is presumed that surface grinding not only removes the oxide film 1c on the front or upper surface of the bonded wafers but at the same time, also forms work strain remaining on the upper surface of the wafer 1b, which causes the front or upper surface to be expanded easily compared with the back or under surface. Further, it should be noted that a newly caused deformation from the state of warp after surface grinding (○) to the state of warp after etching in an alkaline solution (Δ) is in the concave or downward direction. It is presumed that a layer having work strain, which is the cause of the warp, induced by surface grinding is removed by etching.

### 3. SUMMARY OF THE INVENTION

This invention was made in the light of the above problems.

It is an object of the present invention to provide a substrate for forming semiconductor devices with an SOI structure which is free from warp and high precision in flatness.

The objects and novel features of the present invention stated above and also those other than stated above will be clarified in reference to the descriptions included in and the drawings accompanying to this specification.

The invention disclosed in this application will be typically summarized for description as follows:

In order to achieve the above objects, the present invention comprises a method of preparing a substrate for forming semiconductor devices having an SOI structure wherein a first wafer and a second wafer each constituted by a silicon single crystal are bonded to each other with an oxide film interposed therebetween, polished and/or etched so that said second wafer is thinned into a thin film to prepare said substrate for forming semiconductor devices having an SOI structure, the improvement comprising steps of intentionally warping at least said first wafer beforehand and of bonding said second wafer to a concave side of said first wafer.

To sum up, attention is paid to the fact that the front or upper surface side of the substrate is subjected to deformation in the direction of the upper side in forming an SOI structure. To correct this deformation, the first wafer has a deformation formed in the reverse or downward direction in advance so that the substrate can be flat in result when the SOI structure is formed.

According to the above method, the first wafer is warped in advance. Then the second wafer is bonded to the concave side of the first wafer. A deformation of the bonded wafers at the time of forming an SOI structure reversed the warp of the bonded wafers to be offset, resulting in realization of a substrate for forming semiconductor devices having an SOI structure with an exceedingly flat surface.

#### 4. BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1 (A) through (C) are views showing manufacturing processes in the preferred embodiments wherein a substrate for forming semiconductor devices having an SOI structure according to the present invention is prepared.

Fig. 2 is a figure showing the relationship between the thickness of an oxide film and the warp.

Fig. 3 is a figure showing the relationship between the thickness of the wafers and the warp. Figs. 4 (A) through (C) are views showing the manufacturing processes of a conventional method.

Fig. 5 is a figure showing a warp at each process.

#### 5. DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description will be made on the method for manufacturing a substrate for forming semiconductor devices having an SOI structure according to the preferred embodiments of the present invention.

Fig. 1 (C) shows a longitudinal sectional view of the substrate having an SOI structure.

This substrate is manufactured from a pair of bonded wafers in which the wafer 1a and wafer 1b are bonded to each other with an oxide film 1c interposed therebetween, the wafer 1b being polished and/or etched into a thin film.

At this time wafers 1a and 1b are warped beforehand. Wafer 1b is bonded to the concave side of wafer 1a. Wafer 1b to be bonded may be completely free from warp. But wafer 1b preferably has a warp formed in the same direction as 1a.

It is to be noted that the magnitude of the warp of wafer 1a at the time of bonding depends upon the diameters and the thicknesses of the wafers and also upon the temperature for forming the oxide film and the thicknesses thereof. For example, the degrees of the warp can be determined as follows:

Fig. 2 shows the relationship between the thickness of the oxide film and warp. In Fig. 2, a warp is shown for p-type wafers which are 150 mm in diameter and 625 micron meters in thickness. The wafers have the one side thereof formed with an oxide film 1,000 nm and 500 nm thick, respectively. On the axis of abscissa the warp of wafers prior to formation of the oxide films is shown. The conditions of forming the oxide films are the same.

It is known in Fig. 2 that the warps of wafers before and after the formation of the oxide films are correlative and that the correlation can be expressed in the form of a linear equation  $y = Ax + B$  where  $y$  represents a warp after formation of the oxide film,  $x$  represents a warp prior to formation of the oxide film.  $A$  and  $B$  are constants. In Fig. 2 it is understood that the thicker the oxide film is, the larger the warp after the formation of the oxide film is.

Consequently, at first, a point whereat the equation  $y = Ax + B$  crosses the axis of abscissa is obtained by experiments. Next, the wafer is warped beforehand so that the warped wafer has a magnitude of warp corresponding to the values obtained at the point stated above (the warp in which the oxide film forming surface is concave at the same surface). Then, the warp of the wafer disappears when the oxide film is formed.

Further, Fig. 2 shows the case wherein an oxide film is formed on one side of the wafer. Almost the same can be said to the bonded wafers

which have an oxide film formed on each wafer for the purpose of producing an SOI structure, because the influence of the thinner wafer 1b on warp can be ignored. As a result it can safely be said that only wafer 1a is regarded to have original warp in the bonded wafers. It should be noted in this case that the oxide film of the bonded wafers according to the present invention is two times as thick in the bonded region as the oxide film formed on each of the wafers.

Fig. 3 shows the relationship between the thickness of the wafers and the warp. Fig. 3 shows the warp of a p-type wafer 150 mm in diameter which has an oxide film 1,000 nm thick formed on one side thereof. The warps prior to formation of the oxide film are shown on the axis of abscissa.

In Fig. 3 it can be learnt that even in case of different thicknesses of the wafers the warp before and after the formation of an oxide film are correlative and that the correlation can be expressed by a linear equation  $y = A'x + B$  (Symbol  $y$  is the warp after the formation of the oxide film, symbol  $x$  is the warp before the formation of the oxide film;  $A'$  and  $B$  are constants.). At the same time it is known in the figure that the thinner the wafers are, the larger the warps after the formation of the oxide film are.

Consequently, in case the thicknesses of the wafers are varied, the magnitude of the warps can also be varied accordingly.

In bonding together the individual wafers already warped as stated above, an oxide film 1c is formed on the entire surfaces of wafers 1a and 1b by oxidation in steam as shown in Fig. 1 (A). Then the concave surface of the wafer 1a and the convex surface of the wafer 1b are superposed on each other with an oxide film 1c interposed therebetween (Fig. 1 (B)). The wafers are put into a furnace in the state of superposition so that heat or a pulse mode voltage is applied to the superposed wafers in the atmosphere of nitrogen, whereby wafers 1a and 1b are bonded to each other. It is possible that the bonded wafers thus obtained are put in the conventional manufacturing process as they stand, because the wafers have a strong bonding strength.

The wafer 1b of the wafers thus bonded is subjected to surface grinding, etching in an alkaline solution and to polishing for the purpose of thinning the wafer 1b into a thin film, whereby a substrate for forming semiconductor devices having an SOI structure can be obtained as shown in Fig. 1 (C). It should be noted that although wafer 1b also has a warp so that the wafers can be bonded together satisfactorily in the above case, only the wafer 1a can be warped, because the wafer 1b is thinned into a thin film with the result that the effect of the warp on the wafer 1b to the bondability of the

wafers is thought to be ignored. The bonded wafers prepared in this process have the following effects:

Namely, according to the preferred embodiments stated above, wafer 1a is warped beforehand and the concave surface of the wafer 1a is bonded to the wafer 1b. The deformation of the bonded wafers in the direction of the convex side of the wafers at the time of preparing an SOI structure allows the warp of the wafer 1a to be alleviated with the consequence that a substrate for forming semiconductor devices having an SOI structure with high precision flatness can be realized.

It should be noted that when a substrate for forming semiconductor devices which is 150 mm in diameter is prepared in a conventional process, the mean value of warp was micron meters, while a substrate prepared by the process according to the present invention had a mean value of 15 micron meters.

A description has hitherto been made on the present invention in reference to the preferred embodiments. The present invention is not limited only to the embodiments above. It is needless to say that various modifications can also be made within the scope of the spirit of the present invention.

The typical effects of the present invention disclosed in this specification will be described as follows:

According to the present invention, a first wafer and a second wafer both constituted by a silicon single crystal are bonded to each other with an oxide film interposed therebetween, then the bonded wafers are polished and/or etched so that the second wafer is thinned into a thin film for the purpose of preparing the substrate for forming semiconductor devices having an SOI structure. At this time prior to bonding, the first wafer is intentionally warped beforehand. The second wafer is bonded to the concave side of the first wafer. As a result, the process-induced deformation of the bonded wafers in making the SOI structure permits the bonded wafers to be free of warp. Thus, a substrate for semiconductor devices having an SOI structure with a high precision flatness can be realized.

## 50 Claims

(1) A method of preparing a substrate for forming semiconductor devices having an SOI structure wherein a first wafer and a second wafer both constituted by a silicon single crystal are bonded to each other with an oxide film interposed therebetween, polished and/or etched so that said second wafer is formed into a thin film to prepare said

substrate for forming semiconductor devices having said SOI structure, the improvement comprising steps of warping at least said first wafer beforehand and of bonding said second wafer to a concave side of said first wafer.

5

(2) A method as defined in claim 1 wherein an oxide film twice in thickness is formed on one side of a wafer having a diameter and quality same as the first wafer with only a processing time to be lengthened and with other conditions remaining unchanged so that values of various warps of said wafer before and after formation of said oxide film are obtained through experiments, whereby a value of a warp of said first wafer can be determined from an approximate linear equation which shows a correlation between the values of the warps before and after the formation of said oxide film.

10

15

20

25

30

35

40

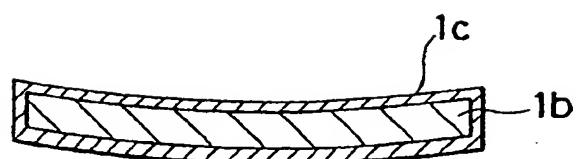
45

50

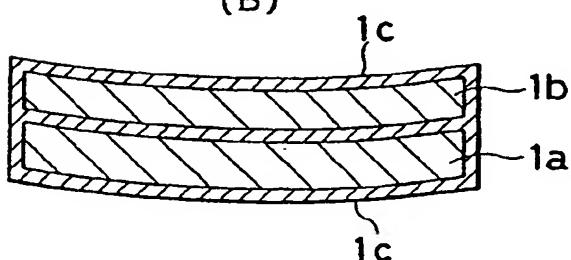
55

FIG. I

(A)



(B)



(C)

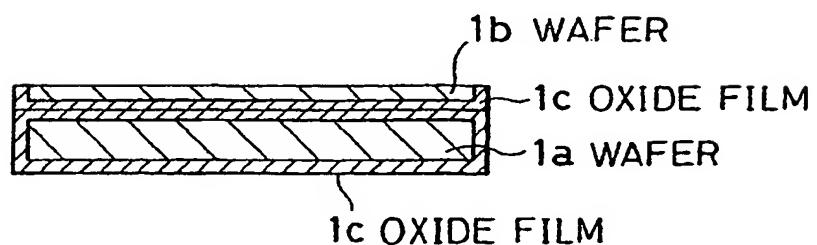


FIG. 2

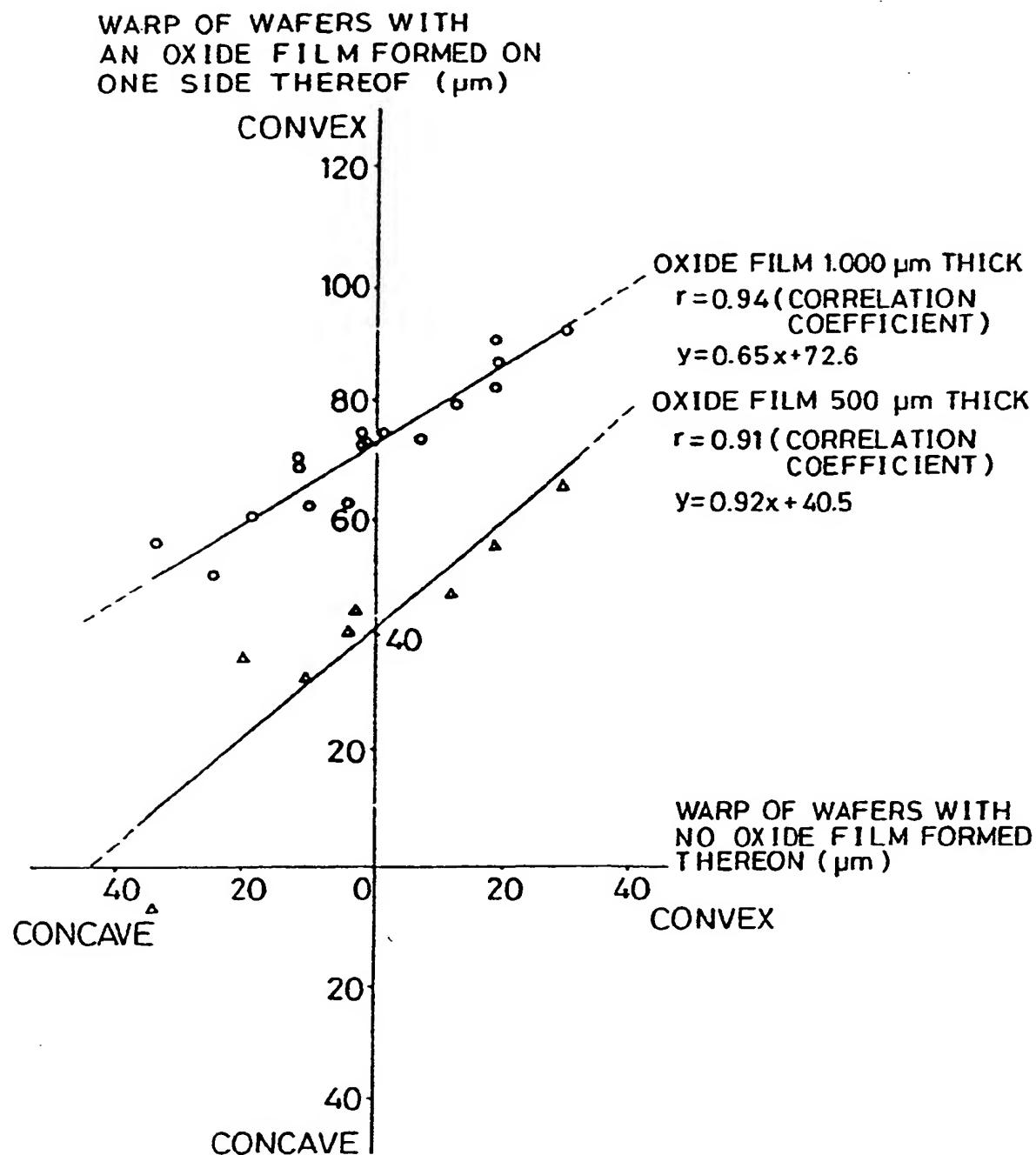


FIG. 3

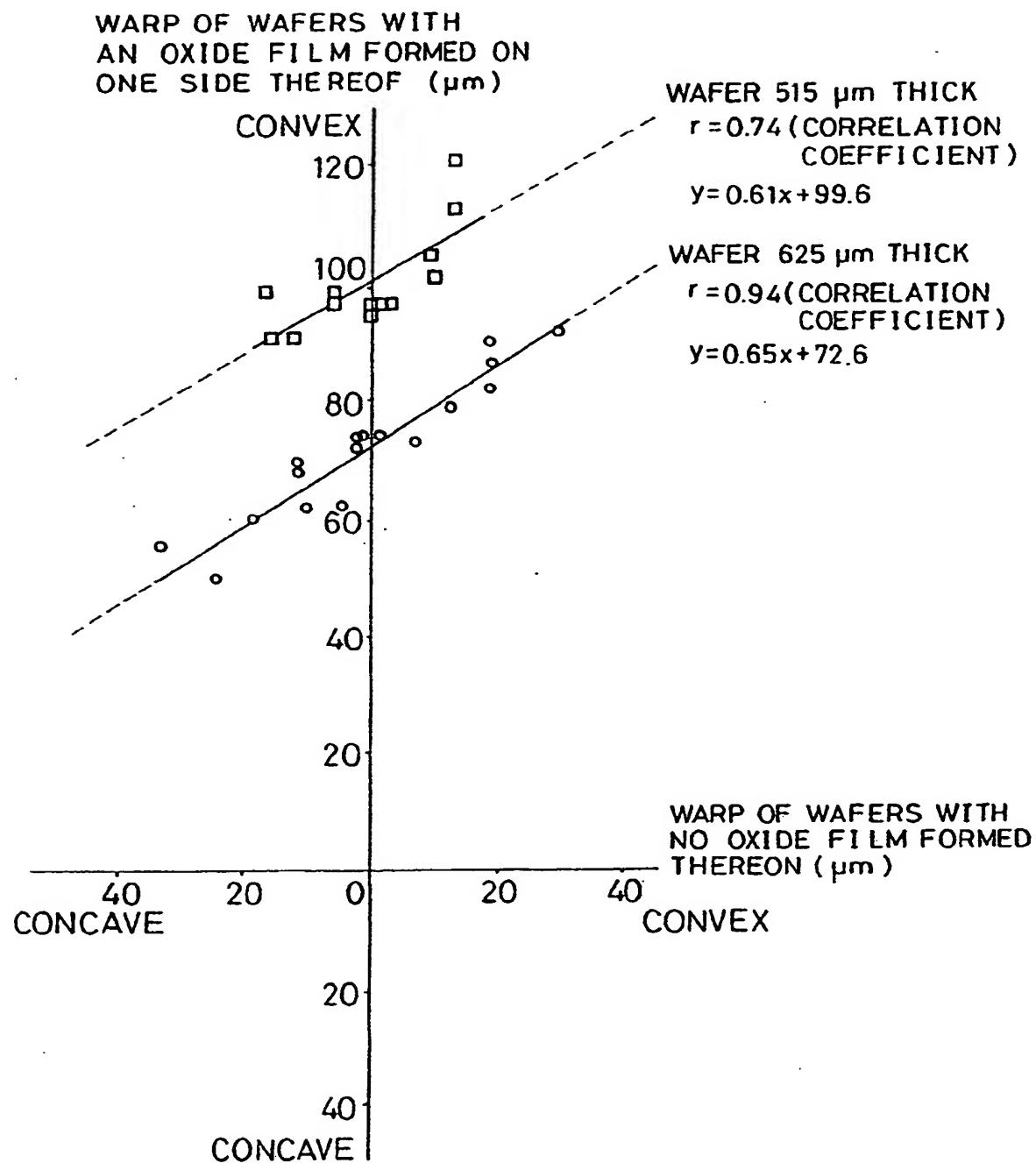
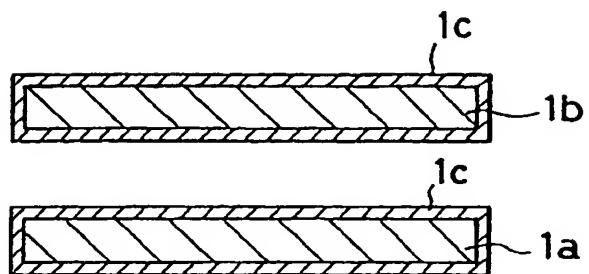
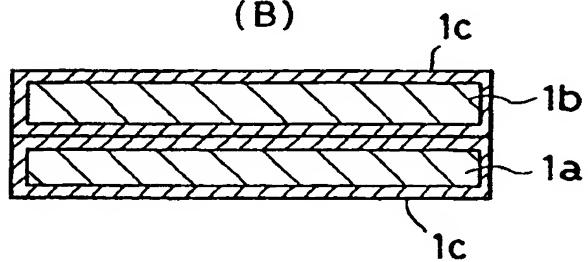


FIG.4

(A)



(B)



(C)

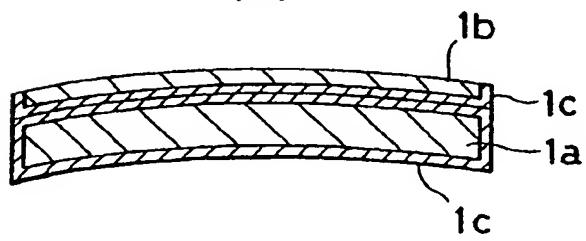
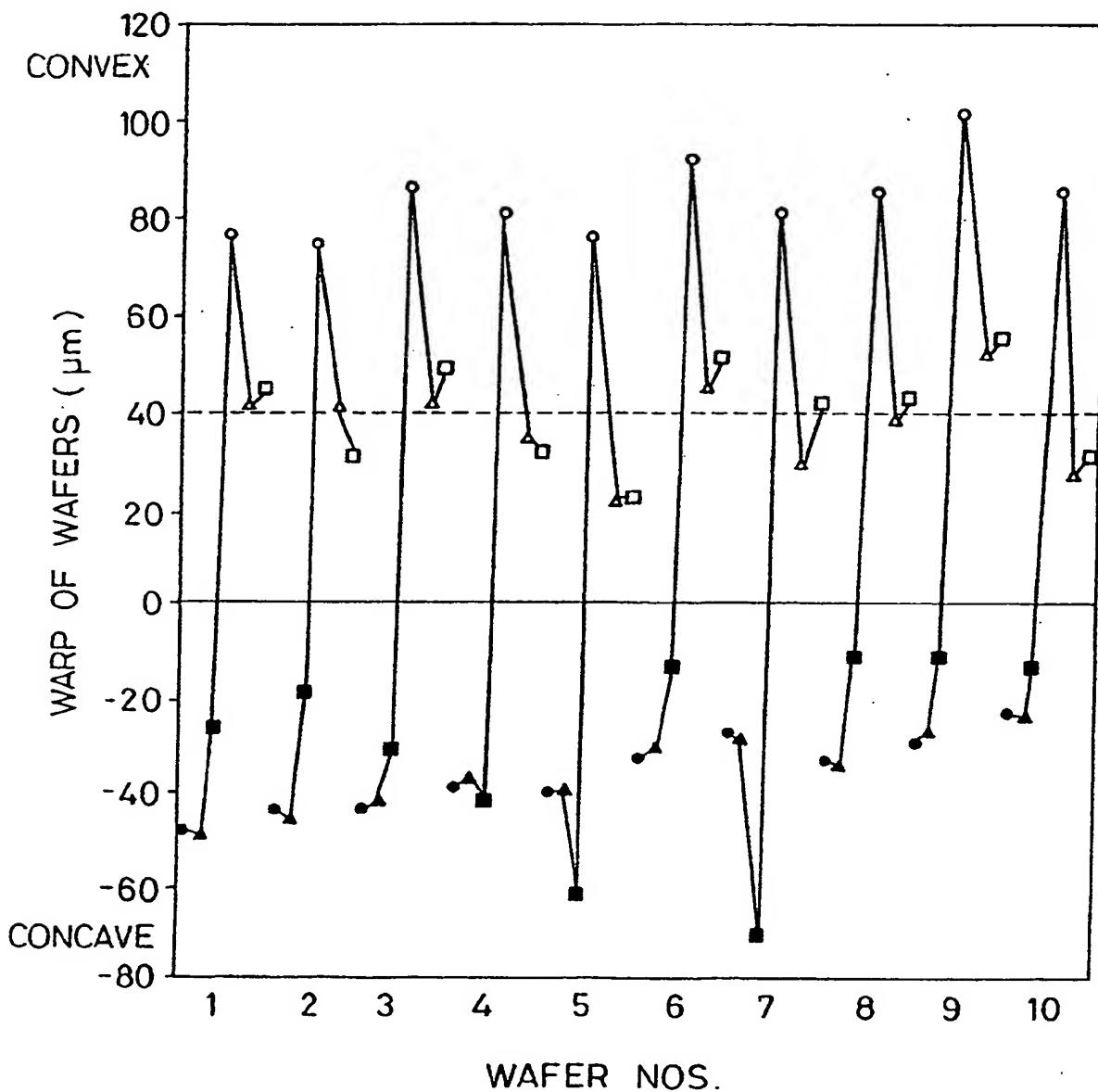


FIG.5





European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number

EP 90 30 8060

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
A	PATENT ABSTRACTS OF JAPAN, vol. 13, no. 173 (E-748)[3521], 24th April 1989; & JP-A-1 4013 (SONY CORP.) 09-01-1989 * Whole document *	1	H 01 L 21/20
A	PATENT ABSTRACTS OF JAPAN, vol. 13, no. 444 (E-828)[3792], 5th October 1989; & JP-A-1 169 917 (FUJITSU LTD) 05-07-1989 * Whole document *	1	
			TECHNICAL FIELDS SEARCHED (Int. Cl.5)
			H 01 L
The present search report has been drawn up for all claims			
Place of search  THE HAGUE	Date of completion of the search  11-10-1990	Examiner  GELEBART Y.C.M.	
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**